Surrogate Organisms for Low Moisture Foods: Tables and References

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Table 1. Studies that compare the survival of surrogate organisms to one or more target pathogens in low moisture foods under different processes

Table 2. Studies that use surrogate organisms to study different processes in low moisture foods

Table 1. Studies that compare the survival of a surrogate organism(s) to one or more target pathogens in low moisture foods under different treatment processes

Process type	Treatment	Surrogate organism	Target pathogen(s)	Matrix	Summary	References
Thermal	Vacuum-assisted steam	Pediococcus acidilactici ATCC 8042	Salmonella strains: Montevideo 1449, Newport, Tennessee K4643; Escherichia coli strains: O121:H19 FNW19M81, O157:H7 F4546; Listeria monocytogenes strains: 1/2a FSL R2- 499, 1/2b FSL R2-502	Apricot halves (dried), raisins, macadamia nuts	Overall reductions of pathogens exceeded those of <i>P. acidilactici</i> on both dried fruits and the nuts. Differences were statistically significant at lower treatment temperatures. Reductions of tested pathogens were comparable. Bacterial inactivation was variable between commodities.	Acuff et al., 2020
	Extrusion	Enterococcus faecium NRRL B-2354	Salmonella strains: Branderup NVSL 96- 12528, Oranienburg NSVL 96-12608, Typhimurium ATCC 14028, Enteritidis IV/NVSL 94-13062, Heidelberg/Sheldon 3347-1	Balanced carbohydrate- protein meal	Thermal resistance of <i>E. faecium</i> was greater than <i>Salmonella</i> . Extrusion led to a 5-log reduction of <i>Salmonella</i> at 60.6°C, or a 5-log reduction of <i>E. faecium</i> at 73.7°C.	Bianchini et al., 2014

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Stagnant and forced dry air heating (peanuts), hot oil (pecan kernels), hot water (in-shell pecans)	ATTC 8459 ¹ ; Enterococcus faecalis	Salmonella strains: Senftenberg 775W ATCC 43845, Enteritidis PT 30 ATCC BAA-1045, Tennessee K4643	Peanuts, pecans	In forced air processes, reductions of <i>E. faecium</i> were greater than for <i>Salmonella</i> . In all other methods, reductions of <i>E. faecium</i> were not significantly different or were significantly lower than for <i>Salmonella</i> .	Brar and Danyluk, 2019
Heat process	Pediococcus acidilactici (from starter culture (Formula 100, Trumark, Linden, N.J.)	Escherichia coli O157:H7 strains: ATCC 43894, ATCC 51657, ATCC 51658, ATCC 43895; Salmonella strains: Typhimurium S9, Heidelberg S13, Enteritidis E40, Infantis S20, Hadar S21	Beef jerky	P. acidilactici displayed greater thermal resistance than all pathogens evaluated when reductions could be calculated.	Buege et al., 2006
Water blanching, steam blanching	Pediococcus acidilactici ATCC 8042; Enterococcus faecium NRRL B-2354	Salmonella strains: Anatum, Montevideo, Senftenberg 775W, Tennessee, Schwarzengrund, Infantis, Mbandaka	Pet food	Both <i>P. acidilactici</i> and <i>E. faecium</i> showed greater thermal resistance than <i>Salmonella</i> ; <i>E. faecium</i> had the greatest thermal resistance.	Ceylan et al., 2015
Heat process	Enterococcus faecium ATTC 8459¹; Saccharomyces cerevisiae	Salmonella strains: Typhimurium, Newport, Senftenberg 775W	Wheat flour	E. faecium had greater thermal resistance than Salmonella. S. cerevisiae had less thermal resistance than Salmonella.	Channaiah et al., 2016
Radio-frequency (RF) heating	Enterococcus faecium NRRL B-2354	Salmonella strains: Agona 447967, Reading Moff 180418, Tennessee K4643, Montevideo 488275, Mbandaka 698538	Cumin seeds	E. faecium had more thermal resistance than Salmonella.	Chen et al., 2019

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Hea		Escherichia coli K12 LMM 1010, Escherichia coli P1 ATCC BAA-1427; Listeria innocua ATCC 33090; Enterococcus faecium NRRL B-2354; Lactobacillus plantarum ATCC 8014; Bifidobacterium lactis	22 Shiga toxin— producing <i>Escherichia</i> <i>coli</i> strains (STEC)	Wheat flour (8 or 13% moisture)	E. faecium had more thermal resistance to 5-min heat treatment at 82°C than the 22 STEC strains tested; E. coli P1 survival had similar thermal resistance as the most thermally resistant STEC strains. Moisture content impacted the survival of all organisms.	Daryaei et al., 2019
Hea	at process	Pediococcus acidilactici ATCC 8042; Enterococcus faecium NRRL B-2354	Salmonella strains: Enteritidis 2415, Agona F5567, Typhimurium ATCC 14028, Tennessee K4643, Newport MH57137, Heidelberg MH27651	Toasted oat cereal, peanut butter	P. acidilactici and E. faecium had statistically equivalent thermal resistance in peanut butter; both had greater thermal resistance than the Salmonella cocktail. P. acidilactici and the Salmonella cocktail had statistically equivalent thermal resistance in toasted oat cereal treatment above 85°C.	Deen and Diez- Gonzalez, 2019
Неа		Pantoea dispersa; Enterococcus faecium 2B-I; Escherichia coli 3A-I	Salmonella Typhimurium phage type 42	Flour	Of ten different strains of non-pathogenic bacteria isolated from heat-treated flour, <i>P. dispersa</i> showed statistically equivalent thermal resistance to <i>Salmonella</i> Typhimurium; <i>E. faecium</i> has lower thermal resistance than <i>Salmonella</i> . D-values for <i>E. faecium</i> were 10 times lower than those for <i>Salmonella</i> .	Fudge et al., 2016
Неа	•	Enterococcus faecium NRRL B-2354	Salmonella strains: Agona 44767, Tennessee K4642, Montevideo 488275, Mbandaka 698538, Reading Moff 180418	Apple cubes	E. faecium had greater thermal resistance than Salmonella to hot-air drying treatment. Temperature and position in dryer impacted the time to reach reductions of 5 log CFU/sample.	Grasso-Kelley et al., 2021
		Enterococcus faecium NRRL B-2354	Salmonella Enteritidis phage type (PT) 30	Almond kernels	E. faecium had greater thermal resistance than Salmonella.	Jeong et al., 2011

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Heat process	Enterococcus faecium NRRL B-2354	Salmonella Enteritidis PT 30	Almond kernels	E. faecium and Salmonella had statistically equivalent thermal resistance, but E. faecium models showed high error. Methodology, aw, and process humidity were important parameters when validating the thermal processes.	Jeong et al., 2017
Heat process	Enterococcus faecium NRRL B-2354	Salmonella strains: Agona 447967, Mbandaka 698538, Montevideo 488275, Tennessee K4643, Reading ATCC 6967	Brown rice flour	Salmonella had greater thermal resistance at 80 or 85°C than E. faecium; E. faecium had greater thermal resistance at 70 and 75°C than Salmonella. For the study an improved isothermal test cell was designed and used.	Jin and Tang, 2019
Hot water	Enterococcus faecium ATTC 8459 ¹	Salmonella strains: Anatum, Enteritidis PT 30 ATCC BAA-1045, Enteritidis PT 9c, Oranienburg 1839, Tennessee K4643; Escherichia coli O157:H7 strains: Odwalla strain 223, CDC 658, H1730, F4546, EC4042; Listeria monocytogenes strains: 101M serotype 4b, Scott A serotype 4b, V7 serotype 1/2a, LCDC81-861 serotype 4b	Inshell pecans	E. faecium had the greatest thermal resistance of all organisms evaluated. The Salmonella cocktail had the next greatest thermal resistance of the organisms compared.	Kharel et al., 2018
Heat process	Enterococcus faecium NRRL B-2354	Salmonella strains: Agona 447967, Mbandaka 698538, Montevideo 488275, Reading Moff 180418, Tennessee K4643	Chia seeds	E. faecium had greater thermal resistance than Salmonella. The Weibull model had a lower root mean square error than the log-linear model.	Lau et al., 2021
Heat process	Enterococcus faecium NRRL B-2354	Salmonella Enteritidis PT 30	Wheat flour	E. faecium had equal or greater thermal resistance than Salmonella.	Liu et al., 2018

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Hot water, hot oil	Enterococcus faecium NRRL B-2354	Salmonella strains: Enteritidis PT 30 (ATCC BAA-1045), Enteritidis PT 9c (RM4635), Montevideo (GRC1), Saintpaul (LJH1311-1), Senftenberg (LJH 1437- 1), Tennessee (K4643); Escherichia coli O157:H7 strains: Odwalla strain 223, CDC 658, EC4042, EC1738, PT 4 NML 11- 1865; Listeria monocytogenes strains: 4b LJH552, LIS0234, LIS0133, PTVS 308	Inshell pistachios	E. faecium had equal or greater thermal resistance than Salmonella. Salmonella Enteritidis PT 30 had similar or greater thermal resistance than all other pathogens compared.	Moussavi et al., 2020
Radio-frequency heating and subsequent freezing	Enterococcus faecium NRRL B-2354	Salmonella Enteritidis PT 30	Corn flour	E. faecium had greater thermal resistance than Salmonella.	Ozturk et al., 2019
Radio-frequency heating	Enterococcus faecium NRRL B-2354	Salmonella Enteritidis PT 30	Paprika, white pepper, cumin powders	E. faecium had greater thermal resistance than Salmonella.	Ozturk et al., 2020
Heat process	Enterococcus faecium NRRL B-2354	Salmonella Enteritidis PT 30	Egg powder	E. faecium had greater thermal resistance than Salmonella.	Pérez-Reyes et al., 2021
Heat process	Enterococcus faecium NRRL B-2354	Salmonella strains: Montevideo, Agona, Weltevreden ATCC BAA-2568, Senftenberg ATCC H385, Tennessee, Typhimurium PT 42; Listeria monocytogenes strains: 1/2b FSL J1- 177, 1/2a FSL C1-056, 4b FSL N3-013, 1/2a FSL R2-499, 4b FLS N1-227, Scott A ATCC49594	Peanut butter, powder infant formula, wheat flour	E. faecium had greater thermal resistance than Salmonella and Listeria; resistance was significantly greater at most parameters. Listeria and Salmonella had statistically equivalent resistance in wheat flour, and in powder infant formula at 85°C.	Quinn et al., 2020

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Heat process	Enterococcus faecium NRRL B-2354	Salmonella strains: Enteritidis PT 30, Senftenberg 775W, Typhimurium, Anatum, Montevideo, Tennessee; Listeria monocytogenes strains: ATCC 15313–53 XXIII, DSMZ 20600; ATCC 49594; ATCC 35152–NCTC 7973; ATCC 13932–LMG 21264, DSMZ 27575; FRRB 2542	Confectionery formulation, chicken meat powder, pet food, savory seasoning	Salmonella had greater thermal resistance in the confectionary formulation than E. faecium; Listeria had the least thermal resistance. E. faecium had the greatest thermal resistance of all organisms in the other products tested - chicken meat powder, pet food, and savory seasoning.	Rachon et al., 2016
Oil roasting or dry roasting	Enterococcus faecium ATCC 8459 ¹ , Enterococcus faecium ATCC 35667	Salmonella strains: Enteritidis PT 30, Tennessee (2006/2007 peanut outbreak strain), Typhimurium TM-1, Cubana G2:229, Newport C2:e,h:1,2, Redba, Bredeney	Peanuts	E. faecium had greater thermal resistance than Salmonella.	Sanders and Calhoun, 2014
Vacuum steam	Enterococcus faecium NRRL B-2354	Salmonella Enteritidis PT 30, E. coli O157:H7	Quinoa, sunflower kernels, black peppercorns, whole flaxseed, milled flaxseed	E. faecium had greater thermal resistance than both Salmonella and E. coli. Vacuum steam treatment (75 or 85°C) effectively reduced pathogens on the matrices at parameters tested.	Shah et al., 2017
Spray drying	Enterococcus faecium NRRL B-2354	Salmonella Enteritidis PT 30	Soy protein isolate	E. faecium had equal or greater thermal resistance than Salmonella.	Steinbrunner et al., 2021
Heat process	Enterococcus faecium NRRL B-2354	Salmonella strains: Enteritidis PT 30, Tennessee K4643, Agona 447967	Cocoa powder	Salmonella had greater thermal resistance than E. faecium at $a_w = 0.45$. E. faecium had equal or greater thermal resistance than Salmonella at $a_w = 0.30$.	Tsai et al., 2019

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Radio-frequency heating	Enterococcus faecium NRRL B-2354	Salmonella strains: Agona 447967, Reading Moff 180418, Mbandaka 698538, Montevideo 488275, Tennessee K4643	Basil leaves, dried	E. faecium had greater thermal resistance than Salmonella.	Verma et al., 2021
Radio-frequency heating	Enterococcus faecium NRRL B-2354	Salmonella Enteritidis PT 30	Wheat flour	E. faecium had greater thermal resistance than Salmonella.	Villa-Rojas et al., 2017
Radio-frequency heating	Enterococcus faecium NRRL B-2354	Salmonella strains: Agona 447967, Reading, Mbandaka 698538, Montevideo 488275, Tennessee K4643	Black peppercorns	E. faecium had similar thermal resistance as the Salmonella cocktail for the treatment tested.	Wei et al., 2018
Radio-frequency heating	Enterococcus faecium NRRL B-2354	Salmonella strains: Agona 447967, Reading, Mbandaka 698538, Montevideo 488275, Tennessee K4643	Egg white powder	E. faecium had higher thermal resistance than Salmonella, and similar inactivation kinetics.	Wei et al., 2020
Heat process	Enterococcus faecium NRRL B-2354	Salmonella strains: Agona 447967, Reading Moff 180418, Mbandaka 698538, Montevideo 488275, Tennessee K4643	Nonfat dry milk, whole milk powder	E. faecium had greater thermal resistance than Salmonella. D-values for both organisms were higher in nonfat dry milk than in whole milk powder.	Wei et al., 2021
Steam treatment	Enterococcus faecium ATTC 8459¹; Listeria innocua ATCC 33090; Escherichia coli P1 ATCC BAA-1427, Escherichia coli K12 ATCC 23631	Salmonella strains: Senftenberg 775W ATCC 43845, Enteritidis PT 30 ATCC BAA-1045, Montevideo ATCC BAA- 710, Thompson; Listeria monocytogenes strains: 4b LMG 23192, 4b LMG 23194, 1/2b LMG 26484; Escherichia coli O157:H7 strains: ATCC 700728, BRMSID 188, LFMFP 846	Black peppercorns	E. faecium had the greatest thermal resistance of the surrogate organisms tested. Salmonella had the greatest thermal resistance of the pathogens tested. E. faecium had greater thermal resistance in most (91%) of the tested conditions than Salmonella.	Zhou et al., 2019

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Thermal and Chemical	Hot water, calcium hypochlorite treatment	Escherichia coli strains: 080618-8, 080526-4, 080611-3, 080602-3, 080514-2	E. coli O157:H7strains: CR-3, MN-28, MY-29, DT-66; Salmonella Enteritidis strains: SE-1, SE-2 SE-3, SE-4	Mung bean seeds	Surrogate <i>E. coli</i> strains performed similarly to pathogen strains. Hot-water treatment led to greater reductions than calcium hypochlorite treatment.	Bari et al., 2009
	Product formulation: water activity and fat level, heat process	Enterococcus faecium NRRL B-2354	Salmonella Tennessee, Salmonella Typhimurium DT104	Peanut pastes	Salmonella survived in all formulations for >12 months. E. faecium survived at higher levels than Salmonella during storage.	Kataoka et al., 2014
	Ozone, heated brine and ozone	Enterococcus faecium OSY 31284	Salmonella Enteritidis ODA 99-30581-13	Inshell almonds, inshell pistachios	E. faecium had less resistance than Salmonella to ozone treatment alone on pistachios. E. faecium had significantly more resistance than Salmonella to heat and heat-ozone treatment on pistachios.	Perry et al., 2019
	Gaseous chlorine dioxide + moisture + mild heat	Enterococcus faecium NRRL B-2354	Salmonella strains: ATCC Typhimurium 14028, Heidelberg 45955, Enteritidis PT 30, Montevideo 51, Newport H1073	Almond kernels	Combination of gaseous chlorine dioxide, mild heat (40°C), and increased almond moisture (7%) led to the largest reductions of Salmonella and E. faecium; Salmonella and E. faecium reductions were statistically the same, but at most 2 log CFU/g.	Rane et al., 2021
	High-pressure carbon dioxide or pressurized nitrogen, + heat	Enterococcus faecium NRRL B-2354; Escherichia coli AW1.7; Pediococcus acidilactici FUA 3072; Staphylococcus carnosus R6 FUA 2133	Salmonella strains: Typhimurium ATCC 13311, Senftenberg ATCC 43845, FUA1934, FUA 1946, FUA 1955 E. coli strains: 03-2832 0121:H19, 05-6544 026:H11, C0283 0157:H7, PARC 449 0145:NM, 03-6430 0145:NM	Beef jerky	Treatment of inoculated beef jerky with water-saturated gaseous carbon dioxide resulted in >5-log reductions of all <i>E. coli</i> and <i>Salmonella</i> strains. <i>E. faecium</i> had equal or greater resistance than <i>Salmonella</i> to high pressure CO ₂ ; <i>S. carnosus</i> had equal or higher resistance than any other tested organism.	Schultze et al., 2020

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Chemical	Osmotic pressure and acid	Enterococcus faecium NRRL B-2354	Salmonella strains: , Enteritidis PT 30 ATCC BAA-1045, Tennessee K4643, Agona 447969	Honey, high fructose corn syrup	Whether cultures were prepared using a plate or a freeze-dried method, <i>E. faecium</i> had greater resistance than <i>Salmonella</i> in honey, and similar resistance in high fructose corn syrup. After 21 days of storage in both matrices, populations of both organisms declined more than > 5 log CFU/ml.	Alshammari et al., 2021
	Ethylene oxide	Enterococcus faecium NRRL B-2354	Salmonella strains: Agona 447967, Reading Moff 180418, Tennessee K4643, Montevideo 488275, Mbandaka 698538	Cumin seeds	E. faecium had greater resistance than Salmonella to treatments. Temperature and RH affected reductions of both organisms, with RH having the largest impact.	Chen et al., 2021
	Peracetic acid	Enterococcus faecium NRRL B-2354	Salmonella strains: Newport, Senftenberg 775W, Oranienburg, Saintpaul, Typhimurium DT104	Chia seeds, flax seeds	E. faecium had greater resistance than Salmonella to the sanitizing solution treatment.	Hylton et al., 2019
	Propylene oxide (PPO)	Enterococcus faecium ATCC 8459 ¹ ; Pediococcus acidilactici ATCC 8042; Staphylococcus carnosus ATCC 51365	Salmonella strains: Senftenberg 775W, Montevideo 1449, Tennessee K4643, Johannesburg ARL-SE- 013, Ball ARL-SE-085	Cashews, macadamia nuts	E. faecium and P. acidilactici had significantly more resistance than Salmonella to PPO fumigation on both nuts; E. faecium had the greatest resistance of all organisms tested. S. carnosus had statistically greater resistance than Salmonella on macadamia nuts; reductions for the two organisms were similar on cashews.	Saunders et al., 2018
	Ethylene oxide	Enterococcus faecium NRRL B-2354	Salmonella strains: Agona 447967, Montevideo 488275, Mbandaka 698538	Whole black peppercorns	E. faecium had greater resistance than Salmonella to fumigation treatments. Temperature and RH had significant effects on reductions of both organisms.	Wei et al., 2021

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Non-thermal	Intense pulsed light	Enterococcus faecium NRRL B-2354	Cronobacter sakazakii ATCC 29544	Non-fat dry milk, wheat flour, egg white powder	E. faecium had greater resistance than C. sakazakii to pulsed light treatments for each matrix.	Chen et al., 2019
	Electron beam (irradiation)	Enterococcus faecium NRRL B-2354; Geobacillus stearothermophilus ATCC 7953 spores; Escherichia coli strains: DSM 19206, DSM 5923, DSM 18039; Deinococcus radiodurans DSM 20539	Salmonella strains: Enteritidis (15-SA02843), Gaminara (05-01527), Oranienburg (17- SA01525), Rubislaw (07-01143), Typhimurium (10- 01906)	Pumpkin seeds, golden flax seeds	E. faecium had greater resistance than Salmonella to electron beam treatment; E. faecium reductions were 2–3 log lower. E. coli DSM 18039 and Salmonella showed similar resistance. Results suggest that E. coli DSM 18039 could serve as a surrogate for Salmonella in validation trials, whereas E. faecium could serve as a process control indicator for seed decontamination.	Henz et al., 2020
	High-intensity 405- nanometer light	Escherichia coli K-12 ATTC SMG 123; Salmonella Typhimurium Chi 3985	E. coli O157:H7 strains: ATCC 35150, C9990, 43894; Salmonella Enteritidis PT 30	Almonds	Surrogates and pathogenic strains all showed similar resistance. Reductions were below 3 log for all processes.	Lacombe et al., 2016

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Table 2. Studies that use surrogate organisms to in low moisture foods subjected to different treatment processes

Process type	Treatment	Surrogate organism	Matrix	Summary	References
Thermal	Dry-heat process	Enterococcus faecium NRRL B-2354	Almond meal, talc	E. faecium had lower thermal resistance in talc alone than in almond meal or almond meal with talc (used as dryinoculum carrier); residual talc affected thermal resistance of E. faecium.	Ahmad et al., 2019
	Superheated steam	Enterococcus faecium NRRL B-2354	Peanut butter	Increases in superheated steam temperature and a _w of peanut butter decreased the D-values.	Park et al., 2021
	Dry-heat process	Enterococcus faecium ATCC 8459¹, Enterococcus faecium ATCC 35667	Peanuts	5-log reduction of <i>E. faecium</i> was achieved using industrially relevant dry roasting parameters for peanuts.	Poirier et al., 2014
	Dry-heat process	Enterococcus faecium ATCC 8459 ¹	Peanut butter (normal and high oleic)	High temperature (190°C) heat treatment reduced <i>E. faecium</i> by 6 log CFU/g without unacceptable quality loss.	Reed et al., 2020
	Oven roasting, microwave roasting, oven and microwave roasting	Enterococcus faecium OSY31284	Peanuts	A minimum 3-log reduction of <i>E. faecium</i> was achieved with the parameters tested.	Smith et al., 2014
	Sequential infrared hot air	Enterococcus faecium NRRL B-2354	Pistachios	Sequential infrared hot air treatment achieved faster drying of pistachios and >5-log reduction of <i>E. faecium</i> .	Venkitasamy et al., 2017
	Sequential infrared hot air	Enterococcus faecium NRRL B-2354	Almonds	Sequential infrared hot air treatment achieved faster drying of almonds and varied reductions of <i>E. faecium</i> .	Venkitasamy et al., 2018
	Radiofrequency heat	Enterococcus faecium NRRL B-2354	Wheat flour (a _w 0.45; 22°C)	Bigelow model showed a D-value of 8.3 min at 80°C with a z-value of 11.7°C ($R^2 = 0.81$).	Xu et al., 2020
Thermal and Chemical	Heat with or without controlled atmosphere	Escherichia coli ATCC 25922	Almond powder	Heat with controlled atmosphere (low oxygen) resulted in increased D-values below 1°C/min heating.	Cheng et al., 2017
	Modified atmosphere storage, heat process	Escherichia coli ATCC 25922	Almond powder	Long-term storage at 24°C in a modified atmosphere resulted in increased reductions. Holding at 75°C for 50.4 min achieved 4-log reductions.	Cheng et al., 2018
Non-thermal	Electron beam irradiation	Salmonella Typhimurium LT2; Escherichia coli BAA-1427, BAA-1428, BAA-1430	Pecans	Irradiation under modified atmosphere conditions showed similar lethality, but reduced rancidity.	Karagöz et al., 2014

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Validation guidelines and key references

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• E. faecium NRRL B-2354 and clonal relative E. faecium ATTC 8459 are devoid of key antibiotic resistance and virulence genes and were considered safe to use in validation studies. The two strains share over 99% sequence identity.

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